

<b>Subject</b>	<b>FINANCIAL SERVICES</b>
<b>Subject Code</b>	<b>UCMAM14/BM14/CM14</b>
<b>Nature</b>	<b>Major</b>
<b>SEMESTER</b>	<b>V</b>
<b>Maximum Marks</b>	<b>75</b>

### **Unit I**

Meaning and importance of financial services – Types of financial services – Financial services and economic environment – Players in Financial Services Sector

### **Unit II**

Merchant Banking – Functions – Issue management – Managing of new issues – Underwriting – Capital market – Stock Exchange – Role of SEBI

### **Unit III**

Leasing and Hire purchase – Concepts and features – Types of lease Accounts

### **Unit IV**

Factoring – Functions of Factor – Consumer finance – Venture capital – Credit Rating

### **Unit V**

Mutual Funds – concept- types of mutual funds- Securitization- meaning-concept and procedure- securitization India- Demat of shares and securities.

### **Reference Books :**

1. Financial Services – M.Y. Khan
2. Financial Services – B. Santhanam
3. Law of Insurance – Dr. M.N. Mishra
4. Indian Financial System – H.R. Machiraju
5. A Review of current Banking Theory and Practice – S.K. Basu

### **Question Paper Pattern**

<b>Pattern</b>	<b>Total Questions</b>	<b>To answer</b>	<b>Marks per Question</b>	<b>Total Marks</b>
<b>Section A</b>	12	10	2	20
<b>Section B</b>	7	5	5	25
<b>Section C</b>	4	2	15	30

### **Instructions to Paper Setter:**

Section-A: Minimum 2 Questions to be asked from each of the five Units

Section-B: Minimum 1 Question to be asked from each of the five Units

Section-C: Minimum 1 Question to be asked from any four Units